SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL) BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT April 2019

VIOLATIONS & SUBJECT ACTION CHARGING SECTIONS Vanderbilt Commercial Lending, Inc. Order Revoking California Finance Unlawful California Financing Lender License Law Activity Coming, California April 2, 2019 (Financial Code Sections 22159 and 22715 (b) and (d)) Synergy One Lending, Inc. Unlawful Residential Mortgage Consent Order Lending Activity San Diego, California April 2, 2019 (Financial Code Section 50002) Jordan A. Linn Investment Advisory Services, LLC Consent Order Unlawful Investment Adviser Activity Aventura, Florida April 2, 2019 Corporations Code Sections 25230 and 25241)

SCHOLET	ACTION	CIMMOING SECTIONS
Moore Financial Strategies, LLC	Consent Order	Unlawful Investment Adviser
		Activity
Bloomfield Hills, Michigan	April 2, 2019	
		Corporations Code Sections
		25230 and 25241)
J.P. Wealth Management, LLC	Consent Order	Unlawful Investment Adviser
		Activity
Blanco, Texas	April 3, 2019	
		Corporations Code Sections
		25230 and 25241)
Infosys BPO Americas, LLC	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Atlanta, Georgia	Thereof Order Assessing Fine	
, ,		(Financial Code Sections
	April 3, 2019	50321 and 50326)
		,
Semper Home Loans, Inc.	Order to Discontinue Violation	Unlawful Residential Mortgage
,	and Statement of Facts in Support	Lending Activity
Providence, Rhode Island	Thereof Order Assessing Fine	
-,		(Financial Code Sections
	April 3, 2019	50321 and 50326)
	71pm 3, 2017	30321 und 30320)

SUBJECT	ACTION	CHARGING SECTIONS
Keller Mortgage, LLC	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Dublin, Ohio	Thereof Order Assessing Fine	
		(Financial Code Sections
	April 3, 2019	50321 and 50326)
Loanstar Home Loans, LLC	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Portland, Oregon	Thereof Order Assessing Fine	
		(Financial Code Sections
	April 3, 2019	50321 and 50326)
Long Lake MSR, Inc.	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Troy, Michigan	Thereof Order Assessing Fine	
		(Financial Code Sections
	April 3, 2019	50321 and 50326)
Willow Bend Mortgage Company, LLC	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Plano, Texas	Thereof Order Assessing Fine	
		(Financial Code Sections
	April 4, 2019	50321 and 50326)

Sebsect	11011011	CIMINOING SECTIONS
Hancock Mortgage Partners, LLC	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Sugar Land, Texas	Thereof Order Assessing Fine	
_		(Financial Code Sections
	April 4, 2019	50321 and 50326)
Nevaeh's Escrow, Inc.	Accusation	Unlawful Escrow Law
		Activity
Grand Terrace, California	Order Imposing Penalties	
		(Financial Code Sections
	April 4, 2019	17406, 17408 and 17608)
TJC Mortgage, Inc.	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Birmingham, Alabama	Thereof Order Assessing Fine	
_		(Financial Code Sections
	April 4, 2019	50321 and 50326)
		, in the second
United Home Loans Group, Inc.	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Westchester, Illinois	Thereof Order Assessing Fine	
		(Financial Code Sections
	April 4, 2019	50321 and 50326)

SUDJECT	ACTION	CHANGING SECTIONS
Jet Direct Funding Corporation	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Bay Shore, New York	Thereof Order Assessing Fine	
		(Financial Code Sections
	April 4, 2019	50321 and 50326)
	r	,
Goodrich & Associates, LLC	Consent Order	Unlawful Investment Adviser
,		Activity
Bellingham, Washington	April 4, 2019	
8 4 9 4 4 4	r	(Corporations Code Sections
		25230 and 25241)
Isramex-Development Group, Inc.	Stipulation	Unlawful California Financing
		Law Activity
Commerce, California	April 4, 2019	
		(Financial Code Sections
	Order (1) Granting Petition for	22712, 22100 and 22153)
	Reinstatement and (2) Reinstating	22712, 22100 and 22103)
	California Financing Law Lender's	(Government Code Section
	License	11522)
	Election	11022)
	April 10, 2019	
	11pm 10, 2017	

Order Summarily Suspending	Unlawful Investment Adviser
Investment Adviser Certificate	Activity
April 4, 2019	(Corporations Code Section 25242)
Order Summarily Suspending	Unlawful Investment Adviser
Investment Adviser Certificate	Activity
April 4, 2019	(Corporations Code Section 25242)
Statement of Issues in Support of	Unlawful California Financing
1.1	Law Activity
Denying Mortgage Loan Originator	•
License Application	(Financial Code Section 22109.1)
April 5, 2019	,
Accusation in Support of Order to	Unlawful Residential Mortgage
	Lending and California
	Financing Law Activity
Aprii 3, 2019	(Financial Code Sections
	50327 and 22714)
	30321 and 22117)
	April 4, 2019 Order Summarily Suspending Investment Adviser Certificate April 4, 2019 Statement of Issues in Support of Notice of Intention to Issue Order Denying Mortgage Loan Originator License Application April 5, 2019

SUBJECT	ACTION	CHARGING SECTIONS
Mortgage Now, Inc.	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Shrewsbury, New Jersey	Thereof Order Assessing Fine	
		(Financial Code Sections
	April 5, 2019	50321 and 50326)
Skyline Financial Corporation	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Agoura Hills, California	Thereof Order Assessing Fine	
		(Financial Code Sections
	April 5, 2019	50321 and 50326)
Macro Trend, LLC	Consent Order	Unlawful Investment Adviser
		Activity
Columbia, Missouri	April 8, 2019	
		(Corporations Code Sections
		25230 and 25241)
		,
Homeservices Lending, LLC	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
West Des Moines, Iowa	Thereof Order Assessing Fine	-
	_	(Financial Code Sections
	April 8, 2019	50321 and 50326)
		,

SCDJECT	ACTION	CHARGING SECTIONS
Bond Street Mortgage, LLC	Order to Discontinue Violation	Unlawful Residential Mortgage
	and Statement of Facts in Support	Lending Activity
Paramus, New Jersey	Thereof Order Assessing Fine	
·		(Financial Code Sections
	April 8, 2019	50321 and 50326)
Vistorian Finance LLC	Order to Discontinue Violation	III.1
Victorian Finance, LLC		Unlawful Residential Mortgage
D'' 1 1 D 1 '	and Statement of Facts in Support	Lending Activity
Pittsburgh, Pennsylvania	Thereof Order Assessing Fine	
		(Financial Code Sections
	April 8, 2019	50321 and 50326)
Tounjian Advisory Group, LLC	Order Summarily Revoking	Unlawful Investment Adviser
	Investment Adviser Certificate	Activity
Fort Meyers, Florida		1 202 / 209
ore megers, i fortau	April 8, 2019	(Corporations Code Section
	1 pin 0, 2019	25242)
Realty Escrow Corporation	Order Revoking Realty Escrow	Unlawful Escrow Law
Roberto Melchor	Corporation's Escrow Agent's	Activity
Frank Cancino	License	
Carol Alvarez		(Financial Code Section
	April 10, 2019	17408 and 17608)
Downey, California		Í
Commerce, California		
Whittier, California		

Collar Capital Management, LLC	Consent Order	Unlawful Investment Adviser
		Activity
Appleton, Wisconsin	April 10, 2019	
		(Corporations Code Sections
		25230 and 25241)
Hunter K. Toyofuku-Aki	Desist and Refrain Order	Unlawful Offer and Sale of
Global FG Enterprises, LLC		Securities
Global Financial Trust	April 10, 2019	
Clarence A. Hester		(Corporations Code Sections
		25110 and 25401)
Corona, California		25110 una 25 101)
Duluth, Georgia		
Dulum, Georgia		
Ingenuity Street Investing, LLC	Order Summarily Revoking	Unlawful Investment Adviser
angenting street in testing, 220	Investment Adviser Certificate	Activity
Saratoga, California	investment raviser certificate	ricultity
Surutogu, Cumorniu	April 10, 2019	(Corporations Code Section
	71pm 10, 2017	25242)
		23242)
LenderEDGE, LLC	First Amended Statement of Issues	Unlawful California Financing
Lendere Boll, Elec	That Amended Statement of Issues	Law Activity
Newport Beach, California	April 10, 2019	Daw Helivity
Livewport Deach, Camornia	Apin 10, 2019	(Financial Code Sections
		`
		22100(a), 23005(a) and
		22109(a)(1) and (a)(3))

Sebseci	ne men	CIMMOING SECTIONS
Polaris Capital Group, LLC	Desist and Refrain Order	Unlawful California Financing
Chad Schwartz		Law Activity
	April 11, 2019	
San Diego, California		(Financial Code Section
Bismarck, North Dakota		22100)
Rene Castro	Desist and Refrain Order	Unlawful California Financing
		Law Activity
Walnut, California	April 11, 2019	
		(Financial Code Section
		22100)
M D CC M (C)	C + O 1	III CID II (IM (
Mason McDuffie Mortgage Corporation	Consent Order	Unlawful Residential Mortgage
San Daman California	A mail 11 2010	Lending Activity
San Ramon, California	April 11, 2019	(Figure 1 Code Coding
		(Financial Code Sections
		50321, 50504 and 50513)
Fiverr International Ltd.	Stipulation to Desist and Refrain	Unlawful Escrow Law
	Order	Activity
Tel Aviv, Israel		
, , , , , , , , , , , , , , , , , , , ,	April 16, 2019	(Financial Code Section
	1 2, 2	17200)
		'
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SUBJECT	ACTION	CHARGING SECTIONS
Recovco Mortgage Management, LLC	Settlement Agreement	Unlawful California Financing Law Activity
Irving, Texas	April 16, 2019	Law Activity
in ving, 1 cxas	April 10, 2019	(Financial Code Sections
		(Financial Code Sections
		22159 and 22715)
Mortgage Solutions, LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
Las Vegas, Nevada	April 16, 2019	
		(Financial Code Sections
		22159 and 22715)
		Í
Classic Financial & Investment Services, Inc.	Consent Order	Unlawful Investment Adviser
		Activity
Niwot, Colorado	April 16, 2019	
		(Corporations Code Sections
		25230 and 25241)
		ĺ
Alliance for African Assistance	Settlement Agreement	Unlawful California Financing
		Law Activity
San Diego, California	April 16, 2019	
_		(Financial Code Sections
		22159 and 22715)
		,

SUDJECI	ACTION	CHARGING SECTIONS
Harold Francis Couglar	Desist and Refrain Order April 16, 2019	Unlawful Investment Adviser Activity
Harold Francis Couglar Encinitas, California	Accusation in Support of Orders: (1) Revoking Investment Adviser Representative Registration of	(Corporations Code Sections 25212, 25232 and 25232.1)
	Harold Francis Couglar (2) Barring Harold Francis Couglar from any Position of Employment,	
	Management or Control of any Investment Adviser, Broker-Dealer or Commodity Advisor	
	April 17, 2019	
Global Investment Advisors, LLC	Consent Order	Unlawful Investment Adviser Activity
Topsfield, Massachusetts	April 17, 2019	(Corporations Code Sections 25230 and 25241)

SUBJECT	ACTION	CHARGING SECTIONS
Dai Lam Phuong dba	Statement of Issues in Support of	Unlawful Investment Adviser
Fortpoint Investment Management	Order Levying Administrative	Activity
	Penalties	
Bellevue, Washington		(Corporations Code Section
	April 18, 2019	25252)
LHM Financial Corporation dba	Accusation in Support of Notice of	Unlawful Residential Mortgage
CNN Mortgage	Intention to Issue Order Suspending	Lending and California
	Residential Mortgage Lending	Financing Law Activity
Scottsdale, Arizona	License, California Law Licenses,	
	and Impose Penalties	(Financial Code Sections
	-	50204(i) and 50321)
	Order to Discontinue Violations	
	April 19, 2019	
Lorenzo Flores Nava	Accusation	Mortgage Loan Originator
		License
Santa Ana, California	April 19, 2019	
		(Financial Code Sections
		50513, 50327, 50316, 50141
		and 50144)

NexSpring Financial, LLC	Settlement Agreement	Unlawful California Financing
The Aspring Timanetar, LLC	Settlement Agreement	
		Law Activity
Chesterfield, Missouri	April 19, 2019	
		(Financial Code Sections
		22159 and 22715)
Loan Funder LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
New York, New York	April 19, 2019	·
·	,	(Financial Code Sections
		22159 and 22715)
		,,
Mark Anthony Sawyer	Settlement Agreement	Unlawful California Financing
		Law Activity
San Jose, California	April 19, 2019	
		(Financial Code Sections
		22159 and 22715)
		22139 and 22/13)
River City Mortgage, LLC	Settlement Agreement	Unlawful California Financing
lavor City Morigage, LLC	Settlement representati	Law Activity
Cinainnati Ohia	April 10, 2010	Law Activity
Cincinnati, Ohio	April 19, 2019	
		(Financial Code Sections
		22159 and 22715)

E7 Fundings Inc	Cattlament Agraement	Unlawful California Eineneine
EZ Fundings Inc.	Settlement Agreement	Unlawful California Financing
		Law Activity
Rancho Cucamonga, California	April 19, 2019	
_		(Financial Code Sections
		22159 and 22715)
		2213) and 22/13)
Evolve Mortgage Services, LLC	Settlement Agreement	Unlawful California Financing
Evolve Moltgage Services, LLC	Settlement Agreement	_
		Law Activity
Frisco, Texas	April 19, 2019	
		(Financial Code Sections
		22159 and 22715)
		==105 WAW ==110)
Home Mortgage Alliance Corporation (HMAC)	Settlement Agreement	Unlawful California Financing
Thome worksage rimance corporation (rim ic)	Settlement 1 Greenient	Law Activity
	A :1.10 2010	Law Activity
Santa Ana, California	April 19, 2019	
		(Financial Code Sections
		22159 and 22715)
		,
Golden Waters Funding, Inc.	Settlement Agreement	Unlawful California Financing
		Law Activity
Santa Ana California	April 22, 2010	Law Activity
Santa Ana, California	April 22, 2019	
		(Financial Code Sections
		22159 and 22715)
		·
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Versara Lending, LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
New York, New York	April 22, 2019	
		(Financial Code Sections
		22159 and 22715)
		2210) una 22/10)
Laura Jean Sweezey	Consent Order	Unlawful Investment Adviser
		Activity
Pembroke, Massachusetts	April 22, 2019	Houvity
1 omorone, mussuemuseus	11,5111 22, 2019	(Corporations Code Sections
		25230 and 25241)
		23230 and 23241)
Maximos Realty Inc.	Settlement Agreement	Unlawful California Financing
waximos realty inc.	Settlement Agreement	_
C C 1:C :	A 1122 2010	Law Activity
Corona, California	April 22, 2019	
		(Financial Code Sections
		22159 and 22715)
Downey Capital Mortgage Inc.	Settlement Agreement	Unlawful California Financing
		Law Activity
Downey, California	April 22, 2019	
		(Financial Code Sections
		22159 and 22715)
		22137 und 22/13)

Legacy Commercial Finance LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
Ontario, California	April 22, 2019	
		(Financial Code Sections
		22159 and 22715)
Chaos Home Loan LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
Las Vegas, Nevada	April 22, 2019	Euw Honvity
Las Vegas, Nevaua	April 22, 2019	(E: 1.0.1.0.4;
		(Financial Code Sections
		22159 and 22715)
Ramses Investments, Inc.	Accusation	Unlawful California Financing
		Law Activity
Riverside, California	April 23, 2019	
	r -,	(Financial Code Sections
		227174(a)(2) and (3),
		22714(a)(4), 22750, 22751 and
		22752)
American Wholesale Loans LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
Salt Lake City, Utah	April 23, 2019	
,,	r -,	(Financial Code Sections
		22159 and 22715)
		22139 and 22/13)

SUDJECT	ACTION	CHARGING SECTIONS
Allied Lending Group, Inc.	Settlement Agreement	Unlawful California Financing Law Activity
Glendale, California	April 23, 2019	Law Activity
	r,	(Financial Code Sections
		22159 and 22715)
Staylor Mortgage Lending	Settlement Agreement	Unlawful California Financing
		Law Activity
Eastvale, California	April 23, 2019	
		(Financial Code Sections
		22159 and 22715)
Terrance Ryan MacCarthy	Settlement Agreement	Unlawful California Financing
		Law Activity
Beaumont, California	April 23, 2019	
		(Financial Code Sections
		22159 and 22715)
BG Financial Advisors, LLC	Consent Order	Unlawful Investment Adviser
		Activity
Beaufort, South Carolina	April 23, 2019	
		(Corporations Code Sections
		25230 and 25241)

Consent Order	Unlawful Investment Adviser
	Activity
April 24 2019	
71pm 21, 2019	(Cornerations Code Sections
	(Corporations Code Sections
	25230 and 25241)
Settlement Agreement	Unlawful California Financing
Settlement Agreement	Law Activity
	Law Activity
April 24, 2019	
	(Financial Code Sections
	22159 and 22715)
	,
Settlement Agreement	Unlawful California Financing
8 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Law Activity
April 24, 2010	Edw Helivity
April 24, 2019	
	(Financial Code Sections
	22159 and 22715)
Settlement Agreement	Unlawful California Financing
	Law Activity
April 24, 2019	
April 24, 2019	(Financial Cada Sactions
	(Financial Code Sections
	22159 and 22715)
	Consent Order April 24, 2019 Settlement Agreement April 24, 2019 Settlement Agreement April 24, 2019 Settlement Agreement April 24, 2019

Sebate 1	nemon	CIMINOTING SECTIONS
360 Mortgage Inc.	Settlement Agreement	Unlawful California Financing
		Law Activity
Liberty, Missouri	April 24, 2019	
		(Financial Code Sections
		22159 and 22715)
		,
Guardian Mortgage Group	Settlement Agreement	Unlawful California Financing
		Law Activity
Huntington Beach, California	April 24, 2019	
		(Financial Code Sections
		22159 and 22715)
		22137 und 22713)
Kerwin Beley & Associates Inc.	Order Summarily Revoking	Unlawful Investment Adviser
	Investment Adviser Certificate	Activity
Seattle, Washington		Tion vity
Seattle, Washington	April 24, 2019	(Corporations Code Section
	11piii 24, 2017	(25242)
		23242)
Forbestream Capital, LLC	Settlement Agreement	Unlawful California Financing
1 orocsircam Capital, EEC	Settlement Agreement	Law Activity
Los Angeles California	Annil 24, 2010	Law Activity
Los Angeles, California	April 24, 2019	(Figure in 1 Code Soutions
		(Financial Code Sections
		22159 and 22715)

Sebater	пспон	CIMICOLING SECTIONS
Sotheby's Financial Services California, Inc.	Settlement Agreement	Unlawful California Financing
		Law Activity
New York, New York	April 24, 2019	
		(Financial Code Sections
		22159 and 22715)
Immensity Group	Settlement Agreement	Unlawful California Financing
		Law Activity
Newport Beach, California	April 24, 2019	
		(Financial Code Sections
		22159 and 22715)
Richard Leon Burnett dba	Order Summarily Revoking	Unlawful Investment Adviser
Burnett Financial Services	Investment Adviser Certificate	Activity
Thousand Oaks, California	April 24, 2019	(Corporations Code Section
		25242)
Rolling Oaks Enterprises, LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
Santa Monica, California	April 24, 2019	
·		(Financial Code Sections
		22159 and 22715)

Sebsect		CIMINOING SECTIONS
General Mortgage Capital Corporation	Statement of Issues in Support of	Unlawful Residential Mortgage
	Denial of Residential Mortgage	Lending Activity
Burlingame, California	Lender License	
		(Financial Code Section
	April 25, 2019	50126)
MCS Mortgage Bankers, Inc. aka	Accusation to Revoke Residential	Unlawful Residential Mortgage
Home America Lending Corp.	Mortgage Lender License	Lending Activity
Datahagua Navy Varle	April 25, 2010	(Financial Code Section
Patchogue, New York	April 25, 2019	(Financial Code Section
		50327)
Crosspoint Leasing & Financial Services, Inc.	Settlement Agreement	Unlawful California Financing
		Law Activity
Fair Oaks, California	April 25, 2019	
		(Financial Code Sections
		22159 and 22715)
Napean Capital Group, LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
Palos Verdes Estates, California	April 25, 2019	
		(Financial Code Sections
		22159 and 22715)

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Consent Order	Unlawful Investment Adviser
	Activity
April 25, 2019	_
	(Corporations Code Sections
	` •
	25230 and 25241)
0.1 D : 1: 0.1 G :1	11 1 6 1 1 4 4 4 1 .
e ;	Unlawful Investment Adviser
Revoking Investment Advisor	Activity
Certificate	
	(Corporations Code Section
April 25, 2019	25242)
April 23, 201)	[23242]
Settlement Agreement	Unlawful California Financing
Settlement 1 Greenient	Law Activity
A :1.25 2010	Law Activity
April 25, 2019	
	(Financial Code Sections
	22159 and 22715)
	ŕ
Settlement Agreement	Unlawful California Financing
C	Law Activity
April 25, 2010	
April 23, 2019	(Fig. 1) 1 C - 1 - C - 4 i
	(Financial Code Sections
	22159 and 22715)
	Consent Order April 25, 2019 Order Rescinding Order Summarily Revoking Investment Advisor Certificate April 25, 2019 Settlement Agreement April 25, 2019 Settlement Agreement April 25, 2019

Northstar Funding Inc.	Settlement Agreement	Unlawful California Financing
		Law Activity
Hoboken, New Jersey	April 25, 2019	
Trobonen, riew sersey	115111 23, 2019	(Financial Code Sections
		`
		22159 and 22715)
T I - V	C-441	University California Financia
Tong Lo Vang	Settlement Agreement	Unlawful California Financing
		Law Activity
Fresno, California	April 25, 2019	
		(Financial Code Sections
		22159 and 22715)
		2213) und 22/13)
Daniel Bruce Johnson dba	Order Summarily Revoking	Unlawful Investment Adviser
Johnson Capital Management	Investment Adviser Certificate	Activity
oomison cupital Management	investment riaviser certificate	
Seal Rock, Oregon	April 25, 2019	(Corporations Code Section
Scar Rock, Oregon	April 23, 2017	` +
		25242)
OnPoint Financial LLC	Settlement Agreement	Unlawful California Financing
	Settlement Agreement	I
		Law Activity
Phoenix, Arizona	April 25, 2019	
		(Financial Code Sections
		22159 and 22715)

SCHOLET	nemon	CIMINOTING SECTIONS
Jonathan Pitts Carroll dba	Settlement Agreement	Unlawful California Financing
Carroll & Company Capital Management		Law Activity
	April 26, 2019	
Houston, Texas		(Financial Code Sections
		22159 and 22715)
Ferrari Financial Services, Inc.	Settlement Agreement	Unlawful California Financing
retrair i manetar Services, me.	Settlement Agreement	Law Activity
Englewood Cliffs, New Jersey	April 26, 2019	Law Activity
	1.5	(Financial Code Sections
		22159 and 22715)
Platinum M.G. Holdings, LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
Rancho Cucamonga, California	April 26, 2019	
		(Financial Code Sections
		22159 and 22715)
Las Flores Escrow, Inc.	Consent Order Regarding	Unlawful Escrow Law
	Suspension of Escrow License	Activity
Downey, California		
	April 26, 2019	(Financial Code Sections
		17602 and 17604)

SUDJECT	ACTION	CHARGING SECTIONS
JTA Securities Management, Inc.	Consent Order	Unlawful Investment Adviser
		Activity
Addison, Texas	April 30, 2019	
		(Corporations Code Sections
		25230 and 25241)
Pacific Sands Escrow, Inc.	Accusation to (1) Revoke the Escrow	Unlawful Escrow Law
Michael Richard Steven High	License of Pacific Sands Escrow,	Activity
	Inc. and (2) Bar Michael Richard	
Chino, California	Steven High from any Position of	(Financial Code Sections
	Employment, Management, or	17210, 17414(a)(1) and (a)(2),
	Control in Escrow	17420, 17421, 17602.5 and
		title 10 § 1732.2(a), 1738,
	April 30, 2019	1738.1 and 1740.1)
GTG Financial, Inc.	Settlement Agreement	Unlawful California Financing
,		Law Activity
Santa Rosa, California	April 30, 2019	
,	•	(Financial Code Sections
		22159 and 22715)

SCHOLCI	ACTION	CIMING SECTIONS
Resmac, Inc.	Settlement Agreement	Unlawful California Financing Law Activity
Boca Raton, Florida	April 30, 2019	Law Activity
		(Financial Code Sections
		22159 and 22715)
Dunlin Group LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
Stony Point, New York	April 30, 2019	
		(Financial Code Sections
		22159 and 22715)
Prosper Marketplace, Inc.	Consent Order	Unlawful Offer and Sale of
		Securities
San Francisco, California	April 30, 2019	
		(Corporations Code Section
		25110)
uMortgage Solutions, LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
Charlotte, North Carolina	April 30, 2019	
		(Financial Code Sections
		22159 and 22715)

Bates Mortgage Group LLC	Settlement Agreement	Unlawful California Financing
Bates Wortgage Group LLC	Settlement Agreement	
		Law Activity
Smyrna, Georgia	April 30, 2019	
٠		(Financial Code Sections
		22159 and 22715)
		22137 and 22713)
First Union Lending LLC	Settlement Agreement	Unlawful California Financing
I has onion Lending LLC	Settlement Agreement	
		Law Activity
Orlando, Florida	April 30, 2019	
		(Financial Code Sections
		22159 and 22715)
		22109 and 22710)
HK Lending LLC	Settlement Agreement	Unlawful California Financing
THE Lengthig LLC	Settlement Agreement	Law Activity
	1 20 2010	Law Activity
Sugar Land, Texas	April 30, 2019	
		(Financial Code Sections
		22159 and 22715)
		,
Low VA Rates, LLC	Supplemental Settlement	Unlawful California Financing
Low VII Rutes, EDC	Agreement	Law Activity
Lindon IItala	Agreement	Law Activity
Lindon, Utah		
	April 30, 2019	(Financial Code Sections
		22159 and 22715)
		, , , , , , , , , , , , , , , , , , ,
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Sebuci	me non	emmeding sections
Springboard CDFI	Settlement Agreement	Unlawful California Financing
		Law Activity
Riverside, California	April 30, 2019	
		(Financial Code Sections
		22159 and 22715)
Susan M. Clark	Settlement Agreement	Unlawful California Financing
	_	Law Activity
Sacramento, California	April 30, 2019	·
		(Financial Code Sections
		22159 and 22715)
New Life Financial LLC	Settlement Agreement	Unlawful California Financing
		Law Activity
Fullerton, California	April 30, 2019	·
		(Financial Code Sections
		22159 and 22715)